



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Appl. No. : 10/617,828

Confirmation No. 5222

Applicant : A. NAGASAKA et al.

Filed : July 14, 2003

Titled : PERSONAL AUTHENTICATION DEVICE

TC/AU : 2185

Examiner : TBD

Docket No. : ASA-1141

Customer No.: 24956

PRELIMINARY AMENDMENT

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

Prior to examination on the merits, Applicants respectfully request that the application be amended as follows:

Amendments to the Claims are reflected in the listing of claims which begins on page 2 of this paper; and

Remarks begin on page 9 of this paper.

10/06/2005 SZEWDIE1 00000119 10617828

01 FC:1201
02 FC:1202

200.00 OP
200.00 OP



ITW

PTO/SB/17 (12-04)

Approved for use through 07/31/2006. OMB 0651-0032
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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FEE TRANSMITTAL for FY 2005

Effective 12/08/2004. Fees pursuant to the Consolidated Appropriations Act, 2005 (H.R. 4818).

TOTAL AMOUNT OF PAYMENT (\$)**530.00** Attorney Docket No. **ASA-1141**

METHOD OF PAYMENT (check all that apply)

☐ Check ☒ Credit Card ☐ Money ☐ Other ☐ None
Order

☒ Deposit Account:

Deposit
Account
Number
Deposit
Account
Name

50-1417

MATTINGLY, STANGER & MALUR, P.C.

The Director is authorized to: (check all that apply)

☐ Charge fee(s) indicated below ☒ Credit any overpayments

☒ Charge any additional fee(s) during the pendency of this application.

☐ Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account.

FEE CALCULATION

1. BASIC FILING FEE

Large Entity Fee Code	Small Entity Fee Code	Fee (\$)	Fee Description	Fee Paid
1011	2011	300	Utility filing fee	
1012	2012	200	Design filing fee	
1013	2013	200	Plant filing fee	
1014	2014	300	Reissue filing fee	
1005	2005	200	Provisional filing fee	
1111	2111	500	Utility Search fee	
1112	2112	100	Design Search fee	
1113	2113	300	Plant Search fee	
1114	2114	500	Reissue Search fee	
1311	2311	200	Utility Ex. fee	
1312	2312	130	Design Ex. fee	
1313	2313	160	Plant Ex. fee	
1314	2314	600	Reissue Ex. fee	
SUBTOTAL (1)				0.00

2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE

	Extra Claims	Fee from below	Fee Paid
Total Claims	24	-20 = 4 x 50	= 200.00
Indep. Claims	4	-3 = 1 x 200	= 200.00

Multiple Dependent 360 = 0.00

Large Entity Fee Code	Small Entity Fee Code	Fee (\$)	Fee Description
1202	2202	50	Claims in excess of 20
1201	2201	200	Independent claims in excess of 3
1203	2203	360	Multiple dependent claim, if not paid
1204	2204	200	** Reissue independent claims over original patent
1205	2205	50	** Reissue claims in excess of 20 and over original patent
SUBTOTAL (2) \$ 400.00			

**or number previously paid, if greater; For Reissues, see above.

Complete if Known

Application Number **10/617,828**
Filing Date **July 14, 2003**
First Named Inventor **A. NAGASAKA**
Attorney Docket No. **ASA-1141**

FEE CALCULATION (continued)

3. ADDITIONAL FEES

Large Entity Fee Code	Small Entity Fee Code	Fee (\$)	Fee Description	Fee Paid
1051	2051	130	65 Surcharge - late filing fee or oath	
1052	2052	50	25 Surcharge - late provisional filing fee or cover sheet	
1053	1053	130	130 Non-English specification	
1081	2081	250	125 Utility Application Size fee - for each 50 over 100	
1082	2082	250	125 Design Application Size fee - for each 50 over 100	
1812	1812	2,520	2,520 For filing a request for <i>ex parte</i> reexamination	
1804	920*	1804	920* Requesting publication of SIR prior to Examination action	
1805	1,840	1805	1,840* Requesting publication of SIR after Examiner action	
1251	2251	120	60 Extension for reply within first month	
1252	2252	450	225 Extension for reply within second month	
1253	2253	1020	510 Extension for reply within third month	
1254	2254	1,590	795 Extension for reply within fourth month	
1255	2255	2,160	1,080 Extension for reply within fifth month	
1401	2401	500	250 Notice of Appeal	
1402	2402	500	250 Filing a brief in support of an appeal	
1403	2403	1000	500 Request for oral hearing	
1451	2451	1,510	1,510 Petition to institute a public use proceeding	
1452	2452	500	250 Petition to revive - unavoidable	
1453	2453	1,500	750 Petition to revive - unintentional	
1501	2501	1,400	700 Utility issue fee (or reissue)	
1502	2502	800	400 Design issue fee	
1503	2503	1,100	550 Plant issue fee	
1460	1460	130	130 Petitions to the Commissioner	
1807	1807	50	50 Processing fee under 37 CFR 1.17(q)	
1806	1806	180	180 Submission of Information Disclosure Stmt	
8021	8021	40	40 Recording each patent assignment per property (times number of properties)	
1809	2809	790	395 Filing a submission after final rejection (37 CFR § 1.129(a))	
1810	2810	790	395 For each additional invention to be examined (37 CFR § 1.129(b))	
1801	2801	790	395 Request for Continued Examination (RCE)	
1802	900	1802	900 Request for expedited examination of a design application.	
Other fee (specify) 1464 PETITION TO MAKE SPECIAL				130
*Reduced by Basic Filing Fee Paid				SUBTOTAL (3) (\$)
				130.00

SUBMITTED BY

Name (Print/Type) **Colin D. Barnitz** Registration No. (Attorney/Agent) **35,061** Telephone **(703) 684-1120**
Signature *Colin D. Barnitz* Date **October 5, 2005**

This collection of information is required by 37 CFR 1.17 and 1.27. The information is required to obtain or retain a benefit by the public (such as to file an application for a patent) or to obtain a benefit by the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete the form and/or suggestions for reducing the burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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